

Actiance Supervision

Identify Risk Faster and more Effectively

Financial Services firms connect with clients over a variety of channels such as IM, chat, LinkedIn, Symphony, Slack, and Microsoft Skype for Business. However, many still rely on outdated supervisory review tools that were designed solely for email. This results in longer review queues, higher false positive rates, and less time devoted to resolving real compliance issues.

Actiance Supervision provides:

- TrueCompliance™ (full binary, near-native form) capture of 80+ communications channels, while preserving full conversational context
- Moderated Supervisory pre-review of Facebook, LinkedIn, Twitter, and enterprise social networks
- High-speed search and filtering of full conversational snapshots across all communications channels
- Random sampling and lexicon-based supervision of policies defined globally or by network, location, group, or user
- Reduced false positive rates through expressive policies that can be applied globally or uniquely to each communications channel

Key Features

- Expressive policies to reduce false positives
- Collaborative workspace for Google-like review experience
- Intelligent Circuit Breakers to manage review volume
- Self-service, customizable reporting and analytics dashboards



To learn more, contact an account executive at (888) 349-3223 or at sales@actiance.com

Actiance Supervision:

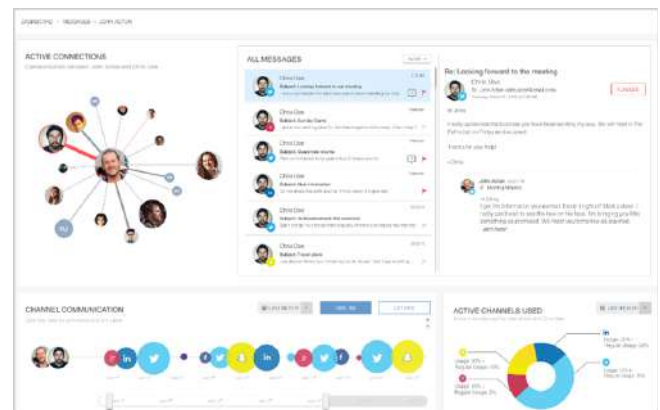
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“Actiance is well-suited for enterprises with the most complex regulatory challenges”

-Gartner Enterprise Archiving Magic Quadrant, 2016

Impactful Benefits

- Configurable supervisory workflows and escalation to meet an organization’s unique requirements
- Complete APIs to integrate with trade, voice, and behavioral analysis tools for comprehensive compliance surveillance
- Increased regulatory response time with sustainably fast search, review, and filtering



Additional Features

- Rich policy management for multi-national, regional, and country-specific supervisory requirements from FINRA, IIROC, FCA, and MiFID II, and more
- Active Compliance™ controls to enable feature control, message blocking, real-time blocking and alerting, data loss prevention, and management of ethical walls
- SEC 17a-4 compliant immutable data storage

Actiance Supervision

Actiance Supervision provides highly regulated or litigious organizations the ability to continuously scan all communications and create custom detection filters. Once alerts are generated, a flexible case-management style workflow allows for disposition of issues with the fewest clicks possible.

Investigative searches can be performed using Google-like facet filtering across all content while preserving the original view and context in the source system. Lastly, proof of supervision and user-defined reports and dashboards can be built by Reviewers using a wide selection of data points.

Learn more at www.actiance.com

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